

# Hierarchical Assembly of Inorganic/Organic Hybrid Si Negative Electrodes



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# Overview

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## Timeline

Project started: FY 2013

Project end date: FY 2016

Percent complete: 40%

## Budget

Total project funding

-DOE share: \$2,000K, 100%

FY13 funding \$500K

FY14 funding \$500K

FY15 funding request \$500K

## Barriers Addressed

Performance: Low energy density and poor cycle life

Life: Poor calendar life

Cost: High manufacture cost  
(Research in high energy system)

## Partners

LBNL (Vince Battaglia, Venkat Srinivasan, Robert Kosteck, Wanli Yang, Andrew Minor, Lin-Wang Wang)  
Pacific Northwest National Laboratory  
Argonne National Laboratory  
General Motors  
Hydro Quebec  
FMC Lithium  
Daikin America

# Relevance – Project Objective

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This proposed work aims to enable Si as a high capacity and long cycle-life material for negative electrode to address two of the barriers of lithium-ion chemistry for EV/PHEV application, insufficient energy density and poor cycle life performance.

1. Understand the fundamental issues related to the Si composite electrode failure
2. Develop material strategies, such as functional conductive polymers and electrolyte additives to overcome failure mechanism
3. Develop electrode assembly strategies to overcome the electrode level failures
4. Demonstrate the performance improvement via electrode and cell level testing and analysis

# Relevance – Project Objective

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This work addresses the adverse effects of Si volume change and minimizes the side reactions to significantly improve capacity and lifetime to develop negative electrode and significantly improve the coulombic efficiency. The research and development activity will provide an in-depth understanding of the challenges associated with assembling large volume change materials into electrodes, and will develop a practical hierarchical assembly approach to enable Si materials as negative electrodes in Li-ion batteries.

# Milestones

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## FY 2013

1. Measure the adhesion of the triethyleneoxide (TEO) containing conductive polymer binder, and characterize the electrode performance (Complete)
2. Design and synthesize the alkyls substituted VC additives (Complete)
3. Investigate the performance of the substituted VC additive electrolyte vs. baseline electrolyte (Complete)

## FY 2014

1. Design and synthesis 3 more PEFM functional conductive polymer binders with different EO content to study the adhesion and swelling properties of binder to the Si electrode performance (Complete)
2. Down select Si vs. Si alloy particles and particle sizes based on cycling results (Complete)
3. Prepare one type of Si/conductive polymer composite particles, and test its electrochemical performance (On schedule)
4. Design and synthesize one type of vinylene carbonate derivative that targeted to protect Si surface, and test it with Si based electrode (On Schedule)

# Approach – Combine functional organic material synthesis, advanced diagnostic and electrode design to achieve high energy-density Si based electrode

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1. Using polymer design and synthesis to developed functional conductive polymer binders for large volume change Si based materials  
*Understand the three requirements for binders: electrolyte intake and ion conducting, adhesion, and electron conducting; and develop new functional conductive polymer binders for Si.*
2. Using in situ TEM to understand the nano and meso scale activities of the Si composite electrode  
*Visualize charge inequality of the Si composite electrode; understand the the performance of functional conductive polymer in the electrode level; quantified the electrode meso volume change to the bulk electrode volume change.*
3. Hierarchical electrode designs to improve energy density  
*Design and fabricate electrode with elastic properties to accommodate Si volume change and maintain stable interface, and maintain porosity of the electrode during cycling.*
4. Understand interface reactivity and develop advanced electrolyte additives to improve SEI and coulombic efficiency  
*Use spectroscopy techniques to understand the surface chemical properties of the SEI layer; and develop new additives to improve SEI stability.*

# Accomplishments – Design and synthesize functional conductive polymer binders for large volume change Si based materials

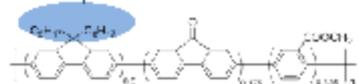
## New functional binder design

Combining:

1. Electrically conductivity
2. Binding – adhesive
3. Li-ion transport

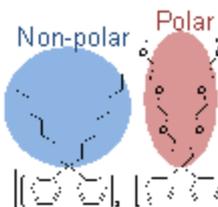
### First generation: PFM

Non-polar



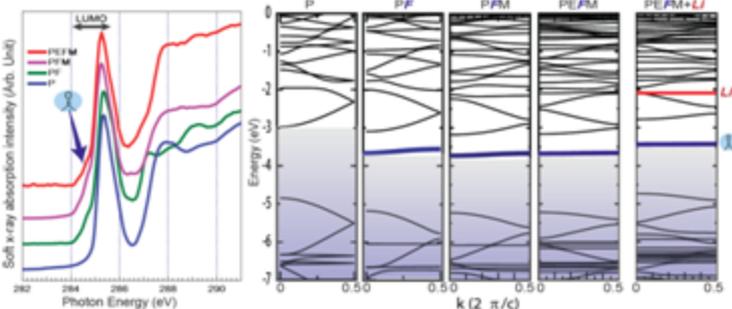
Electric conduction

### Second generation: PEFM

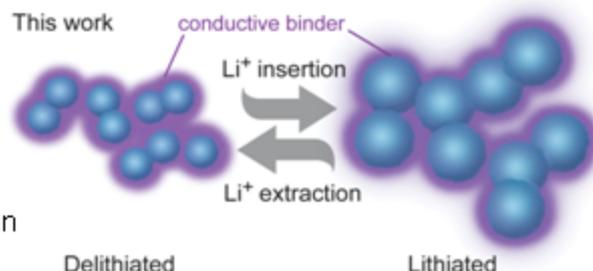


Electric conduction

### Similar LUMO energy levels



## Functional conductive polymer binder/Si electrode



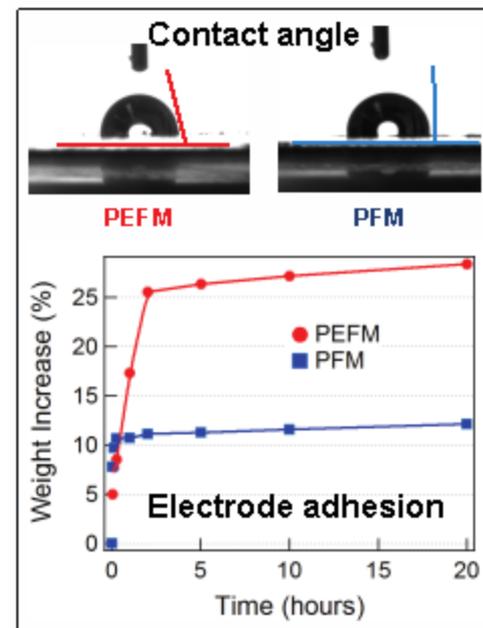
Delithiated

Lithiated

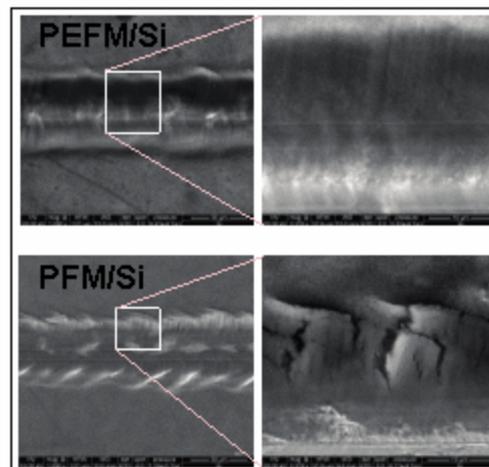
### Advantages

- Use Si particles
- Fully compatible with conventional lithium-ion technologies

R&D100 award winning invention of 2013

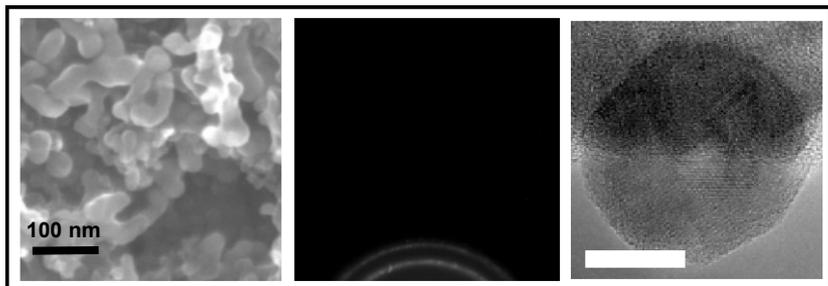


### Scratch test of electrodes

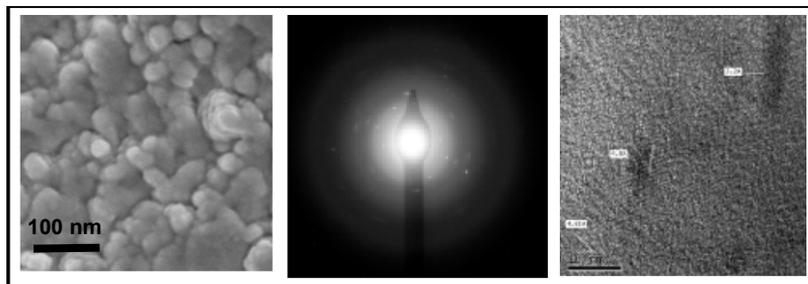


# Accomplishments – Design and synthesize functional conductive polymer binders for large volume change Si based materials

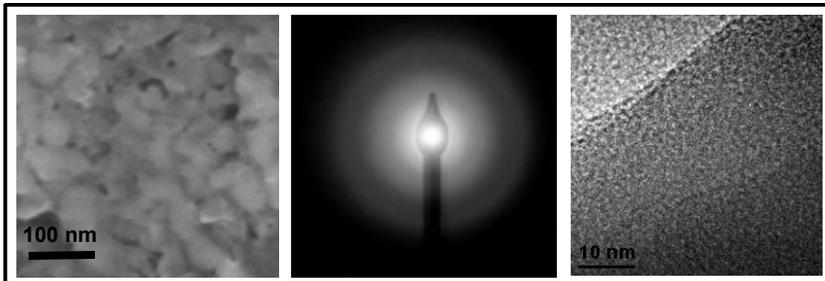
## Un-cycled Si electrode



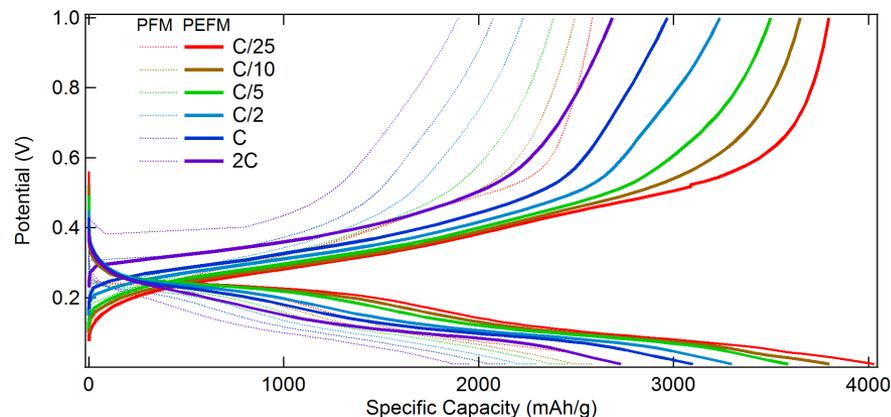
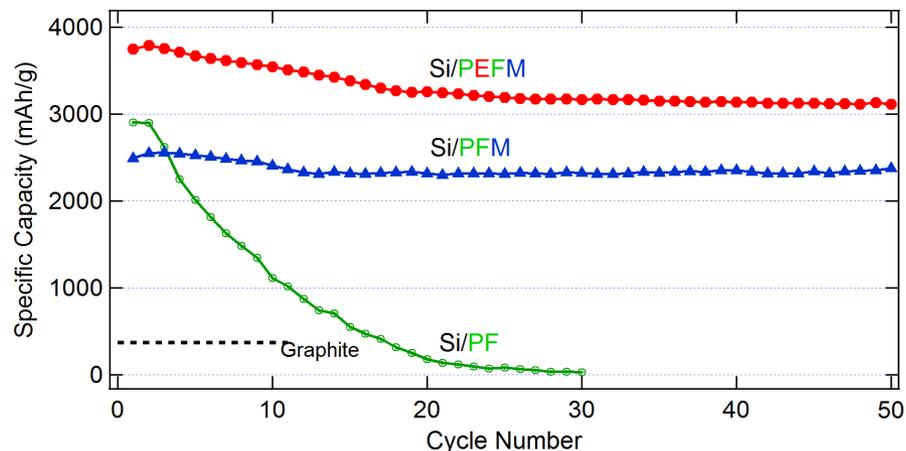
## Si/PFM electrode after 1st cycle



## Si/PEFM electrode after 1st cycle



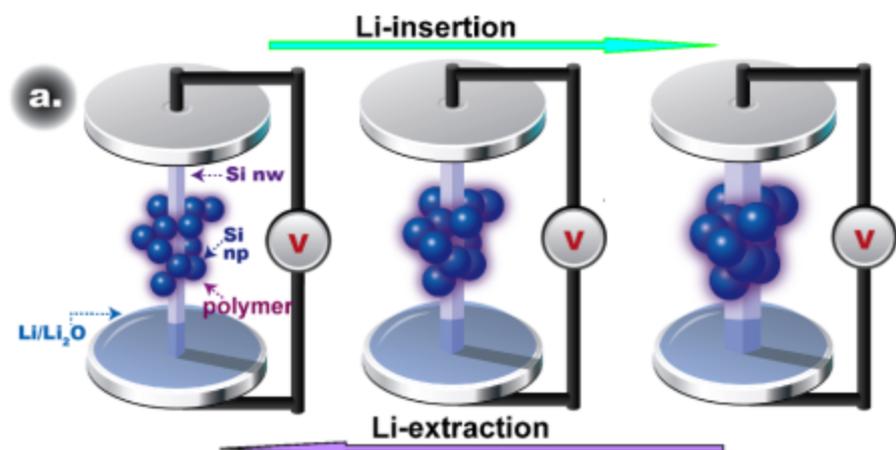
## Si electrode cycling performance



Si/PEFM delivers higher capacity and better rate performance.

## Accomplishments - Use in situ TEM to understand the nano and meso scale activities of the Si composite electrode, in collaboration with Dr. Chongmin Wang of electron microscopy center at PNNL

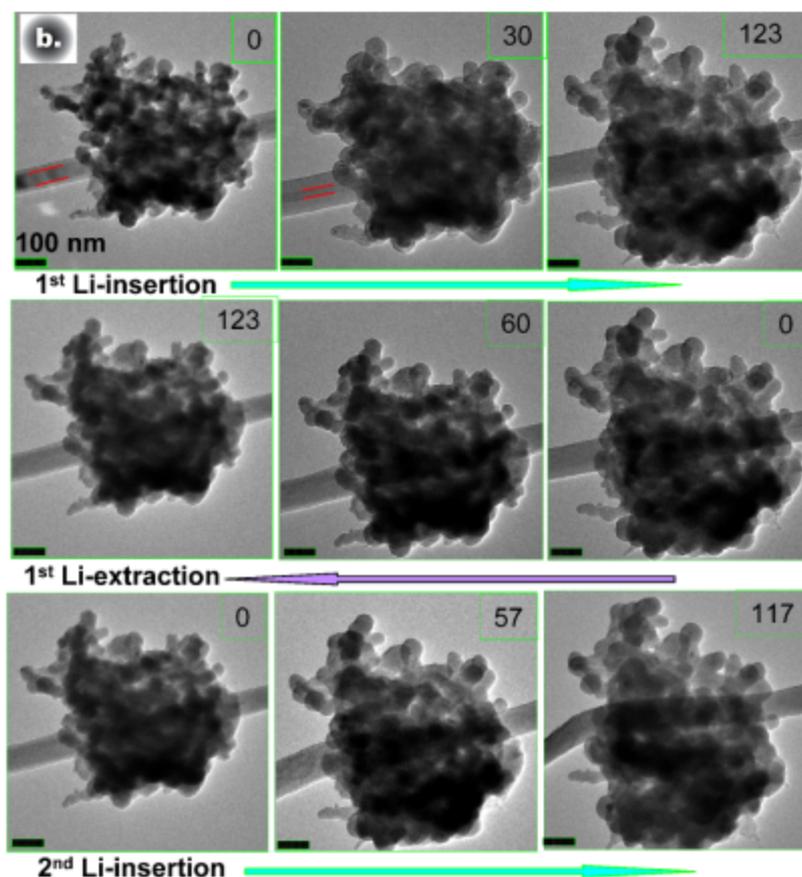
*Schematic drawing of the Si anode on Si NW during lithium insertion and extraction*



*The functional conductive polymer approach exhibits superior electrochemical cycling stability and higher energy density due to the resilient bonding between the conductive polymer and Si NPs. All Si NPs can be lithiated and cycled stably without electrical contact disruption in this approach.*

Video clips will be showing at the ARM review presentation

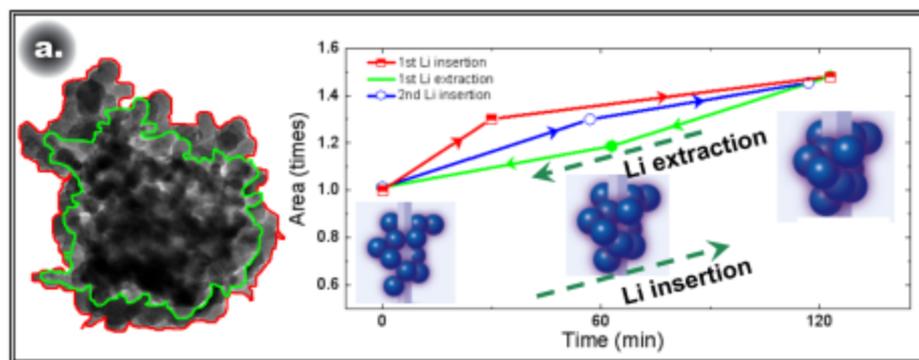
Frames of the in-situ TEM observation lithium insertion and extraction processes of the Si/functional conductive polymer anode at different time



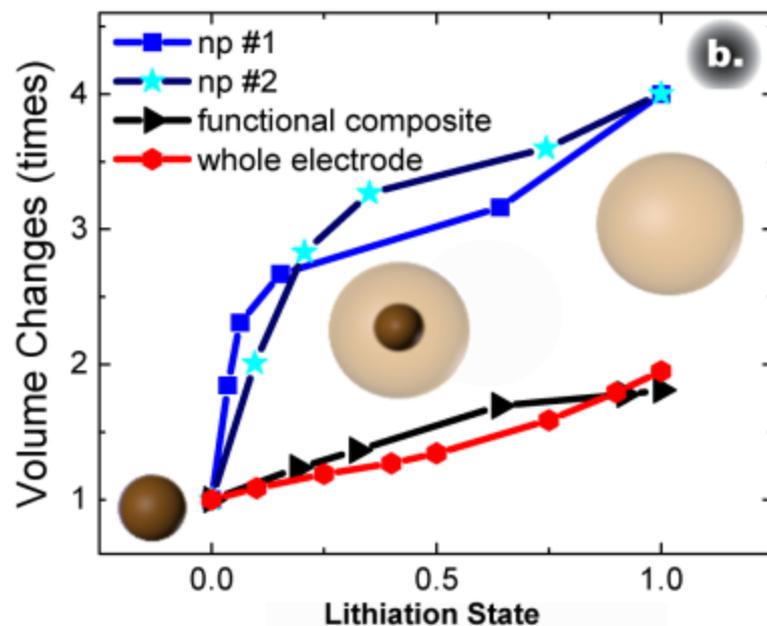
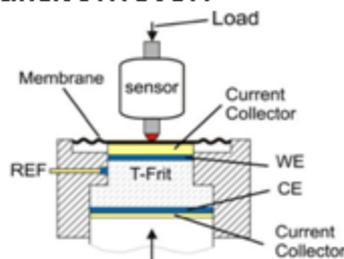
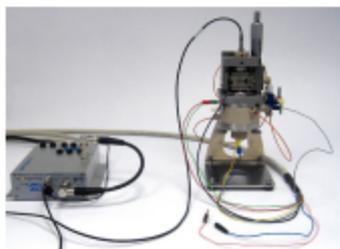
First row : 1<sup>st</sup> lithium insertion; second row: 1<sup>st</sup> lithium extraction; third row: 2<sup>nd</sup> lithium insertion

# Accomplishments - Using in situ TEM to understand the nano and meso scale activities of the Si composite electrode

The in situ TEM investigates a small piece of composite electrode. The expansion and contraction of the composite can be calculated based on the TEM imaging.



The bulk electrode volume change during cycling is mainly in the form of electrode thickness change, which can be observed directly by electrochemical dilatometer.

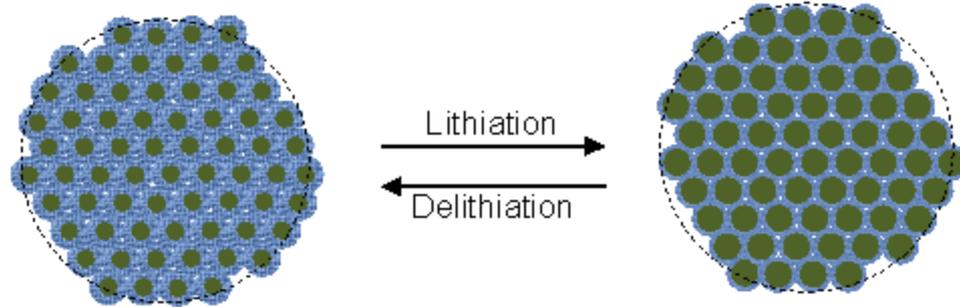


Although the material level volume change is as high as 300% for Si nanoparticles, the composite level volume change is around 100%.

*The information is important for electrode level architecture design.*

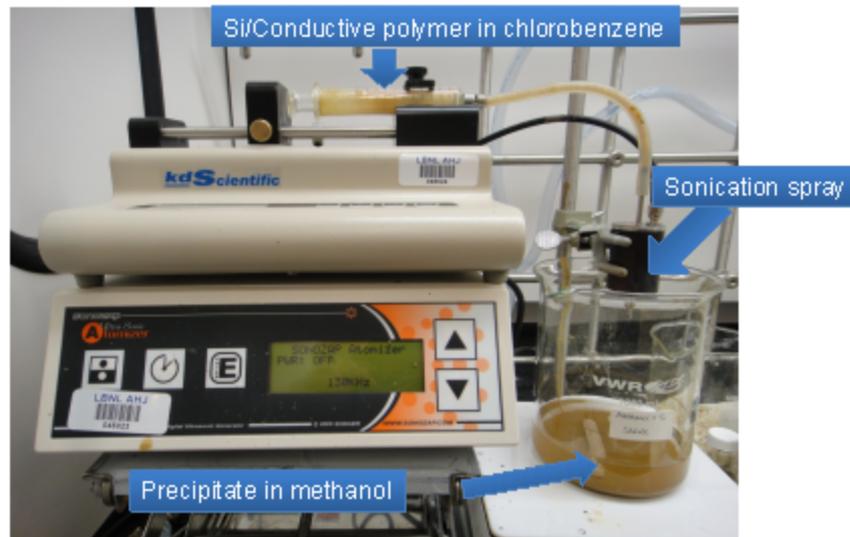
# Accomplishments – Hierarchical electrode designs to improve energy density

## Secondary composite particle

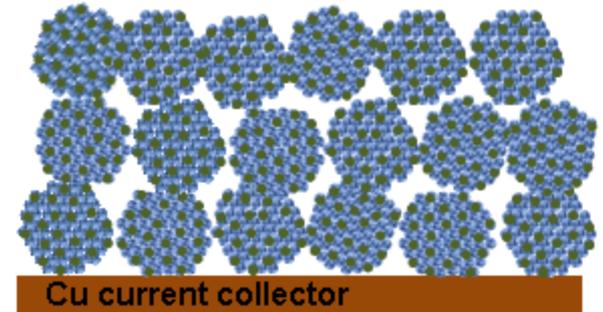


- Si nanoparticles
- Conductive polymer with porosity

## Spray precipitation method to generate secondary particles

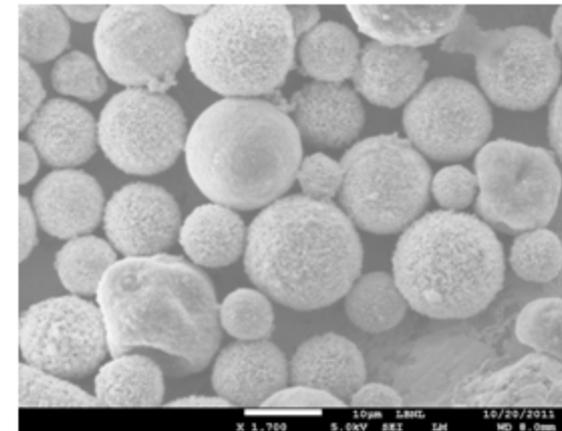


## Secondary composite particles electrode



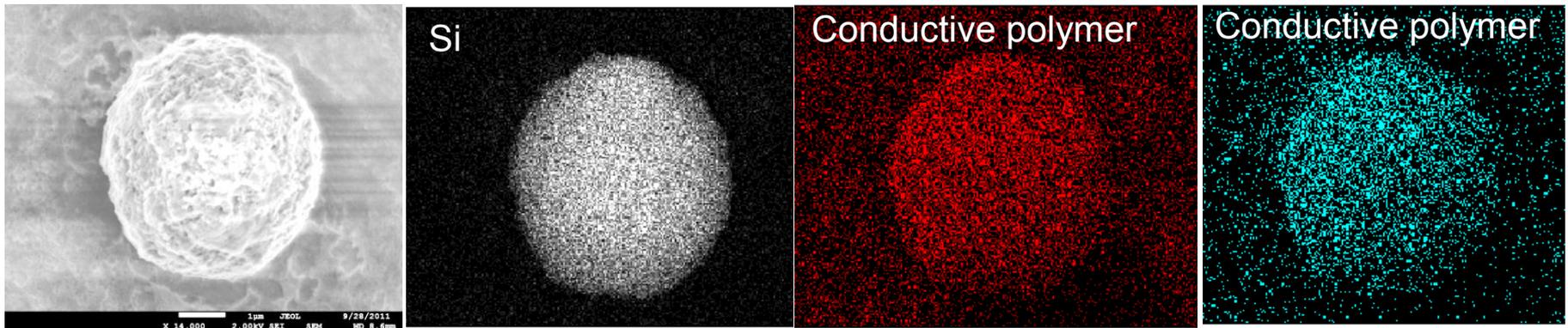
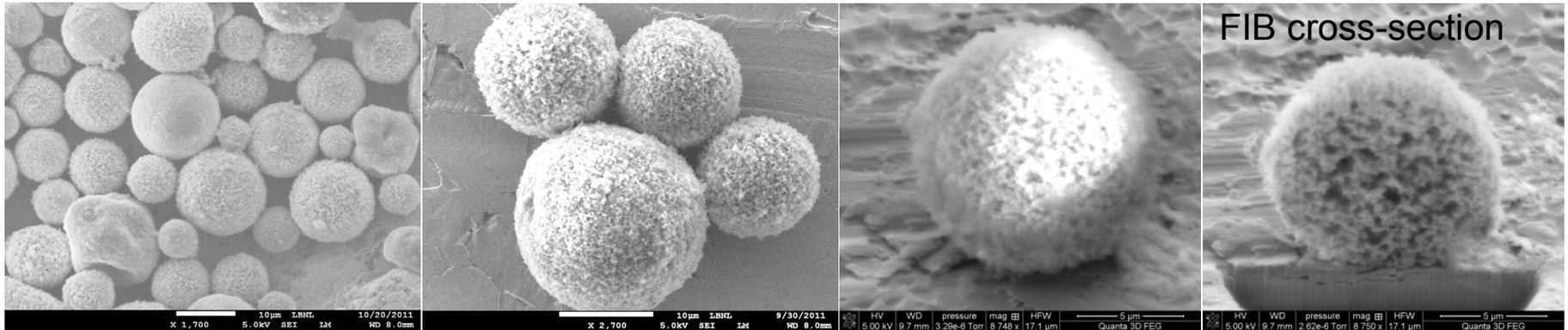
**Advantages: Large micron size porosity, and stable dimension**

## SEM image of Si/PFM Secondary particles



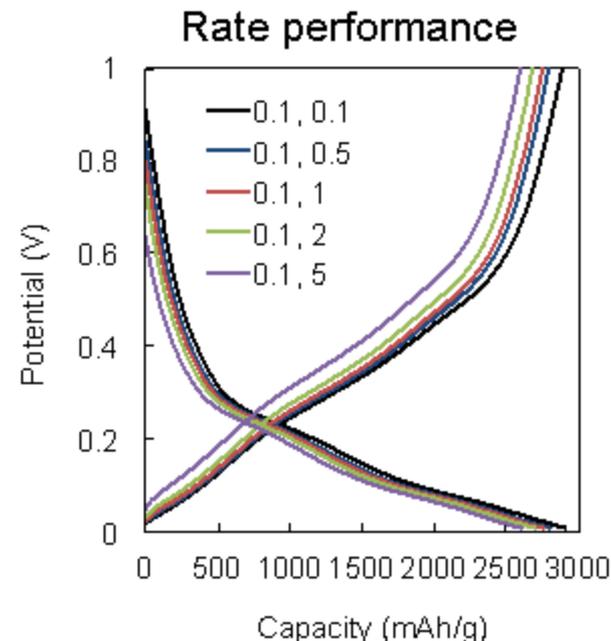
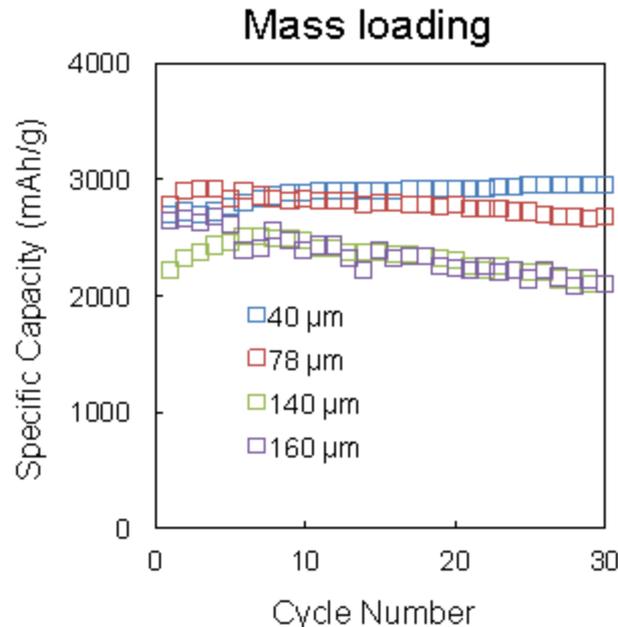
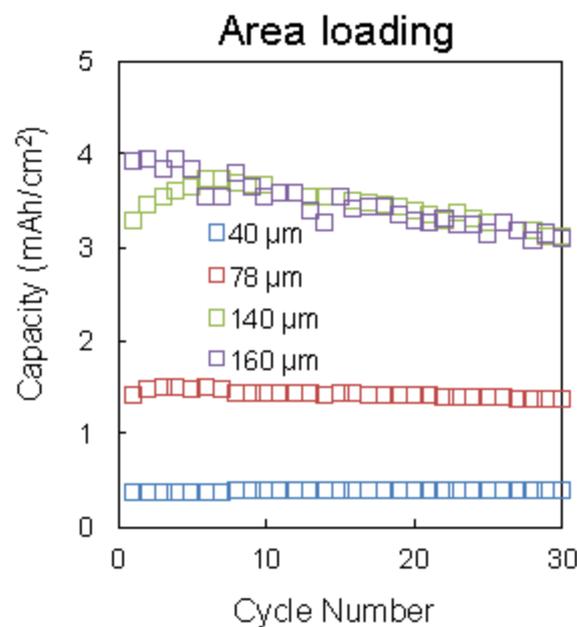
# Accomplishments – Hierarchical electrode designs to improve energy density

## Si composite secondary particles

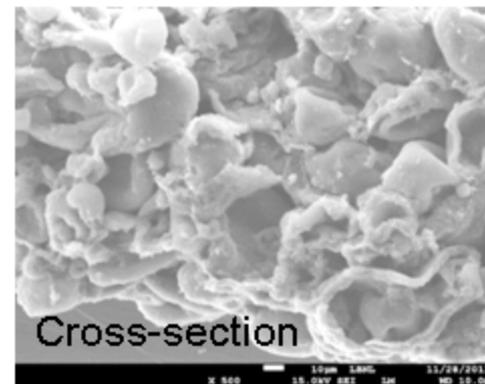
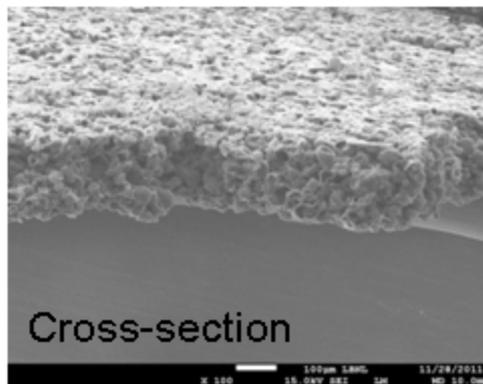
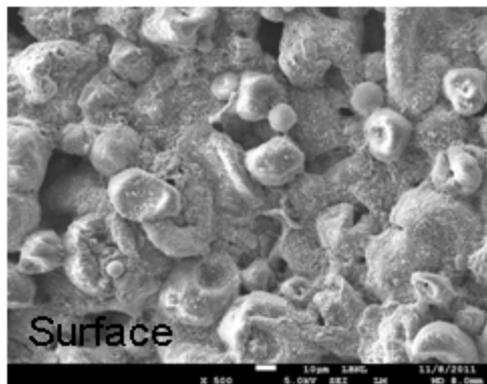


# Accomplishments – Hierarchical electrode designs to improve energy density

## Electrochemical performance of the Si secondary particle composite electrode

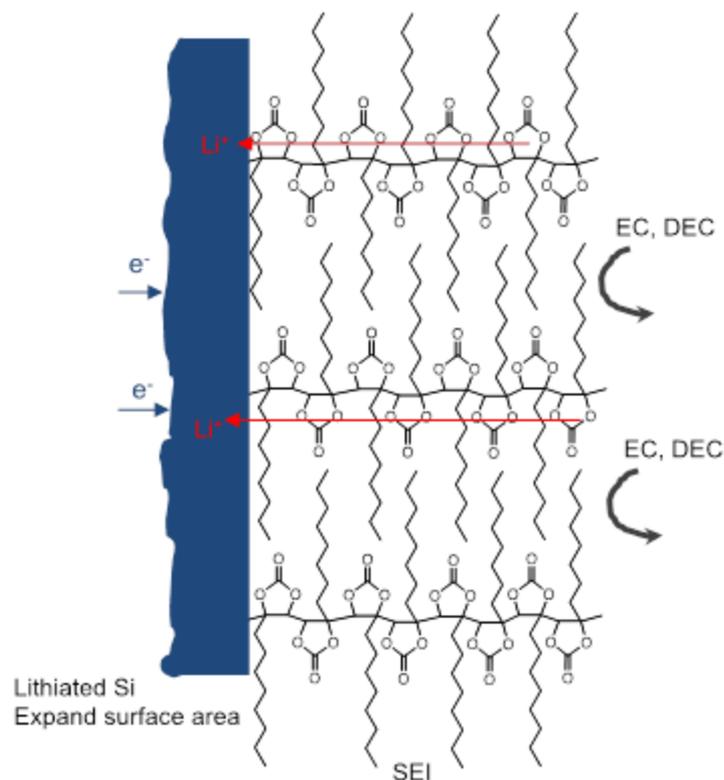


Fresh Electrode

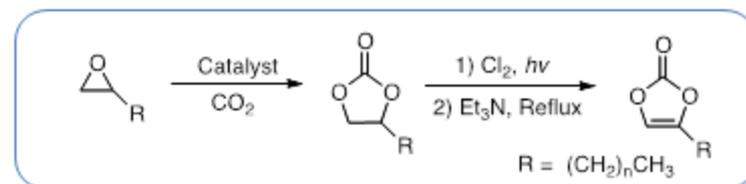


# Accomplishments - Understand interface reactivity and develop advanced electrolyte additives to improve SEI and coulombic efficiency

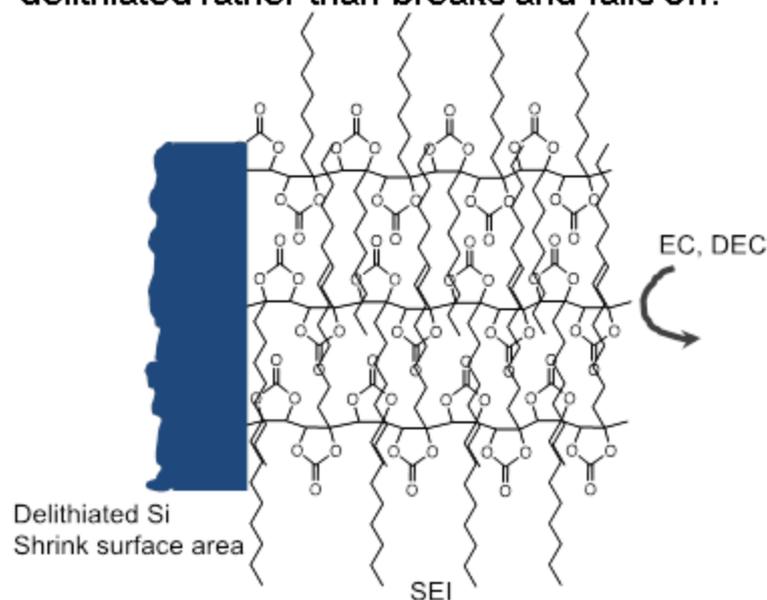
Compliant SEI layer formation with octyl-VC on the surface of Si when Si is lithiated.



Alkyl vinylenecarbonate additives



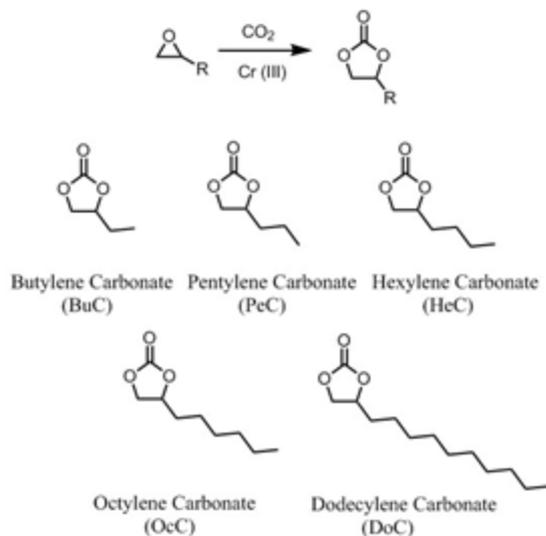
The compliant SEI layer compressed when Si is delithiated rather than breaks and falls off.



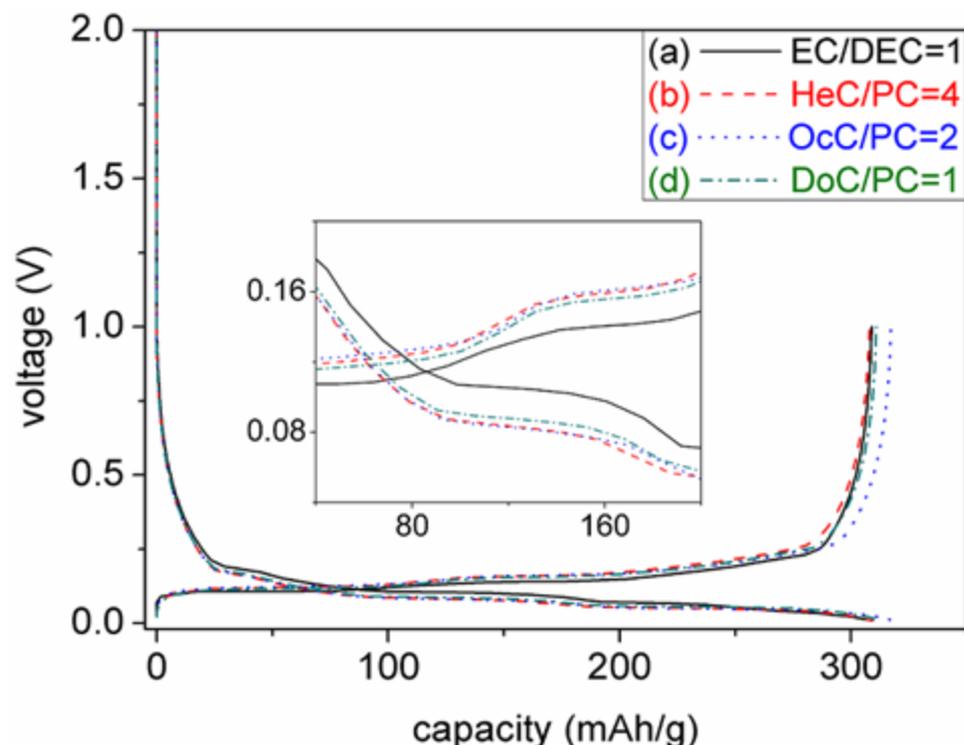
*Alkyl vinylenecarbonate additives may form elastic SEI on the surface of Si, preventing SEI cracking and reforming during cycling.*

# Accomplishments - Understand interface reactivity and develop advanced electrolyte additives to improve SEI and coulombic efficiency

## Cyclic carbonate derivatives: synthesis and properties



Cyclic carbonates	Conductivity of 1 M LiPF <sub>6</sub> in different cyclic carbonate solvents at 30 °C (mS/cm)
PC	5.1
BuC	3.1
PeC	2.3
HeC	0.5
HeC/PC=4 <sup>a</sup>	2.3
OcC	0.4
OcC/PC=2 <sup>a</sup>	1.9
DoC <sup>b</sup>	X
DoC/PC=1 <sup>a</sup>	1.2



*With increasing of alkyl chain length, the dielectric constant of the additives decrease. However, the additives can successfully prevent PC intercalation for the graphite electrode. The effectiveness of this additive for Si is being investigated.*

# Collaborations - Team functions

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## 1. Lawrence Berkeley National Laboratory

In collaboration with BATT PIs, conducted functional conductive polymer design and synthesis for Si based anode materials, performed electrode design fabrication and testing.

In Collaboration with DOE user facility scientists, conducted soft X-ray diagnostic of the materials and electrode, performed advanced TEM analysis of materials, and performed modeling study of materials and electrodes

## 2. Pacific Northwest National Laboratory

Performed In situ TEM analysis of the nano and meso scale phenomenon in the functional conductive polymer binder/Si composite electrode

## 3. General Motors

Measured in situ bulk physical dimension change of electrode using dilatometer and mechanical response characterization using nano-indentation. Performed electrode and surface chemical analysis using TOF-SIM techniques.

# Collaborations - Team functions

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## **4. Argonne National Laboratory**

Provided information for material screening and evaluation of the conductive polymer binder and Si materials.

## **5. Umicore**

Provided pilot scale NanoGrain experimental Si materials.

## **6. Hydro Quebec**

Provided new Si based materials

## **7. Daikin American**

Provided electrolytes for Si based materials and electrode

## **8. FMC Lithium**

Provided lithium based materials

# Proposed Future Work

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1. The team are on schedule to accomplish the milestones defined in the remaining FY2014.
  
2. For the FY 2015, we propose to investigate in the following areas. The detailed milestones will be developed based on the on-going investigation, AMR review comments and discussions between the collaborators.
  - a. Design and synthesis at least two functional conductive polymers for Si based electrode.
  - b. Develop methodologies to improve the Si electrode first cycle efficiency to 90%.
  - c. Design and synthesize new surface stabilizing additive, and test it with Si based electrode
  - d. Apply hierarchical electrode design to achieve a 3 mAh/cm<sup>2</sup> loading.

# Summary

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1. Functional conductive polymer binders play a critical function in deliver stable and high capacity cycling for the large volume change Si materials in a composite electrode.
2. The design of functional binders need to consider three aspects: electron conductivity, adhesion and mechanical properties, and electrolyte swelling for ion-conduction.
3. Characterization based on in situ TEM of the composite electrode has demonstrated the superb performance of the functional conductive polymer binder over the conversional non-conductive polymer binder and conductive additive system.
4. Hierarchical design of particles and electrode architecture maintain electrode 3D structure to ensure a higher area capacity and stable cycling performance.
5. Electrolyte additives form stable SEI, improving coulombic efficiency.